

Fiscal Year:	FY 2016	Task Last Updated:	FY 10/01/2015
PI Name:	Brainard, George C. Ph.D.		
Project Title:	Testing Solid State Lighting Countermeasures to Improve Circadian Adaptation, Sleep, and Performance During High Fidelity Analog and Flight Studies for the International Space Station		
Division Name:	Human Research		
Program/Discipline:			
Program/Discipline--Element/Subdiscipline:	HUMAN RESEARCH--Behavior and performance		
Joint Agency Name:	TechPort:	Yes	
Human Research Program Elements:	(1) HFBP: Human Factors & Behavioral Performance (IRP Rev H)		
Human Research Program Risks:	(1) BMed: Risk of Adverse Cognitive or Behavioral Conditions and Psychiatric Disorders (2) Sleep: Risk of Performance Decrements and Adverse Health Outcomes Resulting from Sleep Loss, Circadian Desynchronization, and Work Overload		
Space Biology Element:	None		
Space Biology Cross-Element Discipline:	None		
Space Biology Special Category:	None		
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Comments:			
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No. of PhD Candidates:	1	No. of Master' Degrees:	
No. of Master's Candidates:	1	No. of Bachelor's Degrees:	
No. of Bachelor's Candidates:	0	Monitoring Center:	NASA JSC
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Flight Program:	ISS		
Flight Assignment:	Flight Definition		
Key Personnel Changes/Previous PI:	No changes		
COI Name (Institution):	Barger, Laura Ph.D. (Brigham and Women's Hospital/Harvard Med Ctr) Clark, Toni B.S. (NASA Johnson Space Center) Czeisler, Charles M.D., Ph.D. (Brigham and Women's Hospital/Harvard Medical Center) Johnston, Smith M.D. (NASA Johnson Space Center) Moomaw, Ronald O.D. (NASA Johnson Space Center) Lockley, Steven Ph.D. (Brigham and Women's Hospital)		
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Task Description:

This proposed research addresses the NASA Research Announcement (NRA) NNJ13ZSA002N-BMED: Behavioral Health and Human Performance: “Evaluation of the Neurobehavioral Effects of a Dynamic Lighting System on the ISS”. This NRA solicits both “Ground Based and Flight-Definition” research with the specific instructions that the “ground study serves as a precursor to the flight study, therefore the ground study should take place in an analog with high fidelity to the ISS. The SSLAs should be studied in a high fidelity ground analog environment, then implemented on ISS to evaluate individual crewmember outcomes related to circadian physiology, sleep, behavioral health and performance using sensitive and validated measures that are feasible in the space flight environment.”

Currently, the International Space Station (ISS) uses General Luminaire Assemblies (GLAs) that house fluorescent lamps for illuminating the astronauts’ working and living environments. NASA has determined that, beginning in 2016, the GLAs will be replaced with Solid-State Light Assemblies (SSLAs) containing Light Emitting Diodes (LEDs). Engineers at Kennedy Space Center developed a prototype Solid-State Lighting Assembly (SSLA) that was successfully installed onboard the ISS during ISS Expedition 18. The Principal Investigator and Co-Principal Investigator of the intended research worked with engineers, scientists, and managers from Johnson Space Center (JSC) to revise the SSLA specifications so that the new lighting units would have dual capacity to: 1) provide illumination for crew members’ working and living quarters, and 2) serve as a lighting countermeasure for crewmembers’ circadian and sleep disruption. NASA has now placed an order for a set of SSLAs to be manufactured that will have this dual capacity.

This research is comprised of a multidisciplinary collaboration between Thomas Jefferson University, Brigham and Women’s Hospital, and JSC to complete a ground-based study in a high fidelity analog of the crew sleeping quarters and daily living environment of the ISS. Specifically, standardized psychometric, physiological, and neurobehavioral measures will test the efficacy of light from the SSLAs to improve vision, circadian regulation, sleep, and performance in healthy astronaut-aged subjects. In addition, once the new SSLAs are deployed on ISS in 2016, the investigators plan to assess the acceptability, use, and impact of deployment of a dynamic lighting schedule aboard the ISS during operational flight missions on astronaut vision, sleep, alertness, circadian rhythms, and general well-being. Sleep, performance, and circadian rhythm data will be compared to those collected by their team and others during previous flight missions aboard ISS, in addition to surveillance of medical and psychological health in collaboration with mission flight surgeons. This project will generate quantitative data and knowledge for the benefit of crew health, habitability, environment, and human factors in the design of future human space flight vehicles and habitats. The project also will provide guidance for flight surgeons, flight psychologists, and astronauts to help optimize sleep and circadian regulation during space exploration missions.

The proposed research addresses NASA’s Program Requirements Document (PRD) Risk: “Risk of Performance Errors due to Fatigue Resulting from Sleep Loss, Circadian Desynchronization, Extended Wakefulness and Work Overload” and Integrated Research Plan (IRP) Gap Sleep5: “We need to identify environmental specifications and operational regimens for using light to prevent and mitigate health and performance decrements due to sleep, circadian, and neurobehavioral disruption, for flight, surface, and ground crews, during all phases of spaceflight operations.” Importantly, this work will lead to advances in new lighting systems for civilian applications in work places and homes.

Rationale for HRP Directed Research:**Research Impact/Earth Benefits:**

The sleep deficits experienced by astronauts during spaceflight can be considered a threat to the success of space missions (NASA Human Research Program Integrated Risk Plan, 2014). The resulting physiological and behavioral changes caused by sleep and circadian disruption can lead to diminished alertness, cognitive ability and psychomotor performance (Dijk et al., Amer. J. Physiol., 2001; Human Health and Performance Risks of Space Exploration Missions. McPhee and Charles, eds., 2010). As a measure to counteract sleep disruptions, crewmembers report using sleep promoting drugs: 71% on space shuttle flights and 75% during ISS expeditions (Barger et al., Lancet Neurology, 2014). A significant portion of the global population suffers from chronic sleep loss and/or circadian-related disorders. Evidence for disease occurring due to a disruption of circadian homeostasis has mounted significantly in the past several years. In the United States, nearly 22 million Americans do shift work that interferes with a biologically healthy nocturnal sleep cycle (US Bureau of Labor Statistics, 2007). It has been shown that shift workers are more likely to suffer from a wide variety of ailments, including cardiovascular disease, metabolic disorders, gastrointestinal distress, and cognitive and emotional problems. Development of an in-flight lighting countermeasure that helps resolve circadian and sleep disruption in astronauts is likely to help optimize the use of light therapy for patient populations with affective, circadian and sleep disorders.

Grant Establishment: Three institutions are collaborating on this multidisciplinary research: Thomas Jefferson University (TJU) in Philadelphia, Brigham and Women’s Hospital (BWH) in Boston, and Johnson Space Center (JSC) in Clear Lake. The start date for the grant was December 1, 2014. Subcontracts were then established between TJU, BWH, and Lockheed Martin. The aim is to complete a ground-based study in a high fidelity analog of the crew sleeping quarters (CQ), and an in-flight study in the daily living environment of the ISS.

Ground Based Analog Study: This study aims to test the efficacy of lighting protocols for daily operations using Solid State Lighting Assemblies (SSLAs) in ISS CQs installed in laboratories at TJU. In a controlled 5-day inpatient study using astronaut-aged volunteers, we are testing the hypotheses that compared to the static, daily lighting of General Illumination only, the Dynamic Lighting Schedule protocol for a typical ISS work day (18h wake: 6 h sleep) will improve visual performance, circadian entrainment, onset of melatonin production, sleep onset, sleep duration as well as morning alertness and performance. Separate human use protocols were submitted and approved by the Institutional Review Boards (IRBs) at TJU and JSC. Previously, NASA and the National Space Biomedical Research Institute (NSBRI) funded the PI and Co-PI to develop a high fidelity, in-laboratory analog environment to study the visual, biological, and behavioral effects of the SSLAs. Specifically, a high-fidelity replica of the ISS Crew Sleeping Quarters (CQ) was developed with precise replication of CQ volume, geometry, and surface reflectance with an SSLA providing illumination. Astronaut-aged study subjects are able to be upright in this CQ and work, read, or use a computer just as crewmembers do onboard the ISS. In addition, a second CQ was developed that allows subjects to be semi-recumbent during wakefulness in SSLA lighting or fully recumbent when sleeping in darkness. Data from controlled studies in these high fidelity in-laboratory analog conditions represent the only published ground-based human data on the efficacy of the SSLAs to date (Brainard et al., Acta Astronautica, 2013). In that earlier work, however, only a single subject could be studied at a time in the facility. In the current work, a second high-fidelity recumbent CQ was built and installed in the test facility enabling us to study up to two subjects at a time, significantly improving our speed for acquiring data in the analog facility. The SSLAs were each adjusted for their spectral output to be as close as possible to

	<p>the NASA's vendor requirements for ISS (NASA Revision C, S684-13489, 2013). These specifications include Correlated Color Temperature (CCT or K) and luminance in candelas (cd) for three basic settings:</p> <p>1) General Illumination: 4500 K SSLA white light, 210 cd ; 2) Phase Shift/Alertness: 6500 K SSLA (blue-enriched) white light, 420 cd ; 3) Pre-Sleep: 2700 K SSLA (blue-depleted) white light, 90 cd.</p> <p>Based on published and unpublished data, the Co-PIs have determined that the 90 cd luminance at crewmember's eye level inside of a CQ would be too bright to serve as an effective Pre-Sleep countermeasure. This issue was discussed with our project management team at JSC on several occasions. It was determined that in space flight, the SSLA luminance could be lowered from 90 cd using a combination of SSLA dimming buttons and a cloth shade system that is currently used on the fluorescent lighting system in the CQs onboard ISS. Based on a series of SSLA lighting measures and our prior pilot study in the CQs, we chose a Pre-Sleep luminance of 7.7 cd (20 lux at eye level) for our Pre-Sleep setting.</p> <p>This study includes male and female volunteers in good physical and mental health with normal color vision. Volunteers are selected in the age range of typical astronauts (range 30-54 years). Prior to admission to the laboratory, subjects are asked to maintain a regular 8:16 h, sleep:wake schedule and wear a wrist-borne, non-invasive activity and light monitor for at least 10 to 14 days. In August 2015, study recruitment was initiated. To date, 52 subjects have expressed interest in participating in this study. Approximately half of those individuals were not eligible based on phone interviews. Among those who were potentially eligible, 8 have signed consent paperwork. Two of those subjects have completed the screening process and successfully completed the first five day study. Subjects were randomly assigned into their lighting condition of either dynamic or static lighting. One subject was male (53 years) and one subject was female (34 years). The next study is scheduled to begin on 10/5/15.</p> <p>The data gathered from this first study run include successful collection of complete pre-study actigraphy, inpatient study actigraphy from each subject. A total of 268 neurocognitive and performance tests were collected from each subject across the five day inpatient study. In addition, 96 Karolinska sleepiness scales (KSS) were collected from each subject across the inpatient study. Complete sets of blood, saliva, and urine samples were collected from each subject for the measurement of melatonin and 6-sulfatoxymelatonin. Polysomnography (PSG) was used to monitor sleep states and wakefulness using electrodes placed on the scalp, face, chin, and chest. Electrodes were positioned according to the International 10-20 System. The actigraphy, neurocognitive, and performance tests and urinary 6 sulfatoxymelatonin measures match similar or identical tests that will be used onboard ISS during the flight study. To date, no data analysis has been done as our effort is now being aimed at establishing the second five day study run on 10/5/15. Finally, the testing of visual performance and color vision will be done separately from the five day studies when we receive SSLA units made by the ISS contractor early in 2016.</p> <p>ISS Flight Study: Compared to the analog study, the flight study is at a nascent stage. The aims of this study are to test the efficacy of lighting protocols for daily operations using SSLAs for inflight crewmembers onboard ISS missions. Specifically, we will assess the acceptability, use, and operational impact of deployment of the Dynamic Lighting Schedule protocol on astronaut vision, sleep, alertness, circadian rhythms, and general well-being during ISS flight missions. This inflight study will test the hypotheses that, compared to current static daily lighting of General Illumination only, the Dynamic Lighting Schedule protocol will maintain acceptable visual performance and color discrimination for operational tasks, improve circadian entrainment, improve circadian adaptation following a sleep shift challenge such as a 'slam-shift', improve sleep duration and efficiency, and enhance wake-time alertness and cognitive performance.</p> <p>Ethical approvals have been obtained from NASA and Partners Healthcare for the flight study. Discussions about how to manage the ethical approval application at Thomas Jefferson University are ongoing. The flight study successfully went through an ISS Medical Project (ISSMP) feasibility assessment on 8/8/15. Subsequently, the HRP Science Management Panel selected this study for flight on 9/3/15. The first weekly ISSMP teleconference was held on 9/30/15 involving representatives from JSC's ISSMP, BWH and TJU.</p> <p>This ISS flight study on crewmembers is a sophisticated human photobiological study. All photobiological studies, whether in spaceflight or on Earth, rely on precise characterization of the independent variable of the study: light. For this study, the relevant light stimulus is light emitted by the new SSLAs and the current ISS fluorescent lighting system. A spectrophotometer/irradiance meter is an essential tool for ensuring that consistent emission of light spectrum and light intensity are maintained during the inflight ISS research. The key measures for this flight study are light irradiance, illuminance, and spectral power distribution of the four settings of the SSLAs, as well as the single setting of the current fluorescent lights. ISSMP is now in the process of assessing which specific meter will be selected for flight. The Farnsworth-Munsell 15 Hue test (D15) is the method to be used for testing crewmember color discrimination under the different SSLA light settings compared to that of the current fluorescent lighting on ISS. The hardware group of ISSMP has been developing two prototypes of this visual test that can be used during flight. Additional planning for the flight study is ongoing with regular meetings with NASA personnel. Historical data of actigraphy, sleep logs, cognitive testing, and urine samples have been identified from previous flight studies that will act as the control data in the flight study. Discussions are ongoing about how to manage consent procedures and data sharing for these historical data.</p>
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